

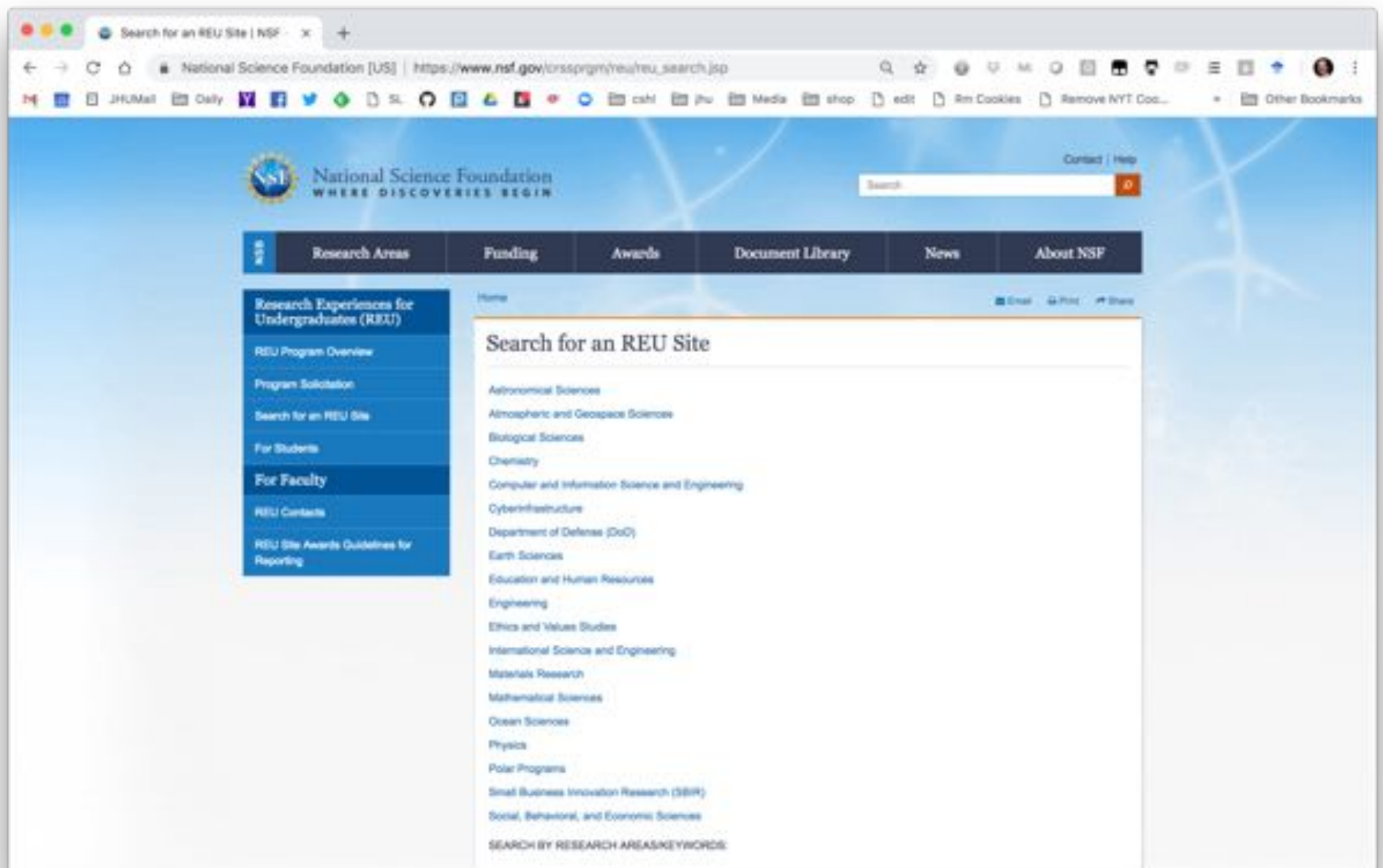
CS 600.226: Data Structures

Michael Schatz

Oct 29, 2018

Lecture 25. Maps and BSTs





https://www.nsf.gov/crssprgm/reu/reu_search.jsp

HW5

Assignment 5: Six Degrees of Awesome

Out on: October 17, 2018

Due by: October 26, 2018 before 10:00 pm

Collaboration: None

Grading:

- Packaging 10%,

- Style 10% (where applicable),

- Testing 10% (where applicable),

- Performance 10% (where applicable),

- Functionality 60% (where applicable)

Overview

The fifth assignment is all about graphs, specifically about graphs of movies and the actors and actresses who star in them. You'll implement a graph data structure following the interface we designed in lecture, and you'll implement it using the incidence list representation.

Turns out that this representation is way more memory-efficient for sparse graphs, something we'll need below. You'll then use your graph implementation to help you play a variant of the famous Six Degrees of Kevin Bacon game. Which variant? See below!

HW6

Assignment 6: Setting Priorities

Out on: October 26, 2018

Due by: November 2, 2018 before 10:00 pm

Collaboration: None

Grading:

- Packaging 10%,

- Style 10% (where applicable),

- Testing 10% (where applicable),

- Performance 10% (where applicable),

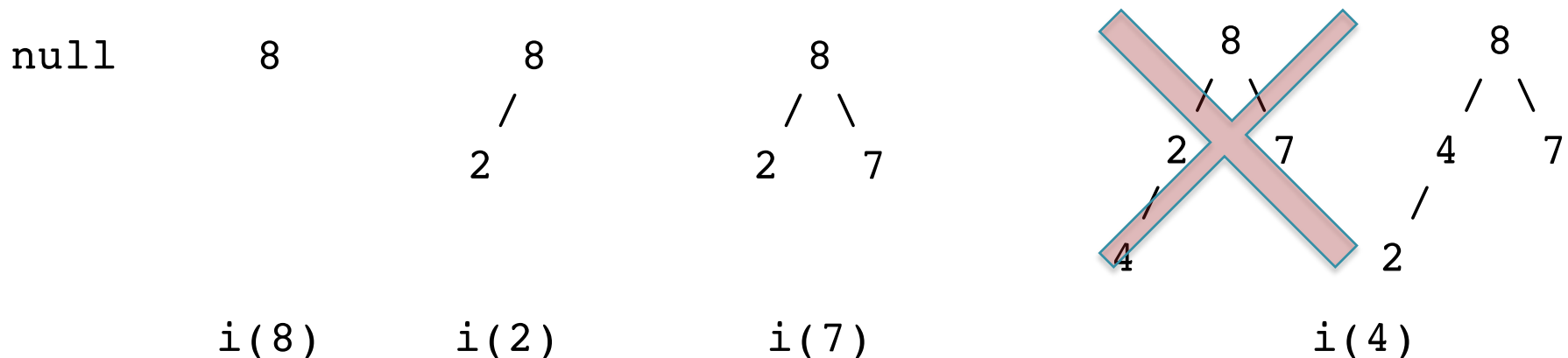
- Functionality 60% (where applicable)

Overview

The sixth assignment is all about sets, priority queues, and various forms of experimental analysis aka benchmarking. You'll work a lot with jaybee as well as with new incarnations of the old Unique program. Think of the former as "unit benchmarking" the individual operations of a data structure, think of the latter as "system benchmarking" a complete (albeit small) application.

Inserting into a binary heap

Insert the elements 8, 2, 7, 4



The **shape property** tells us that we need to fill one level at a time, from left to right. So the **number of elements** in a heap **uniquely determines where the next node** has to be placed.

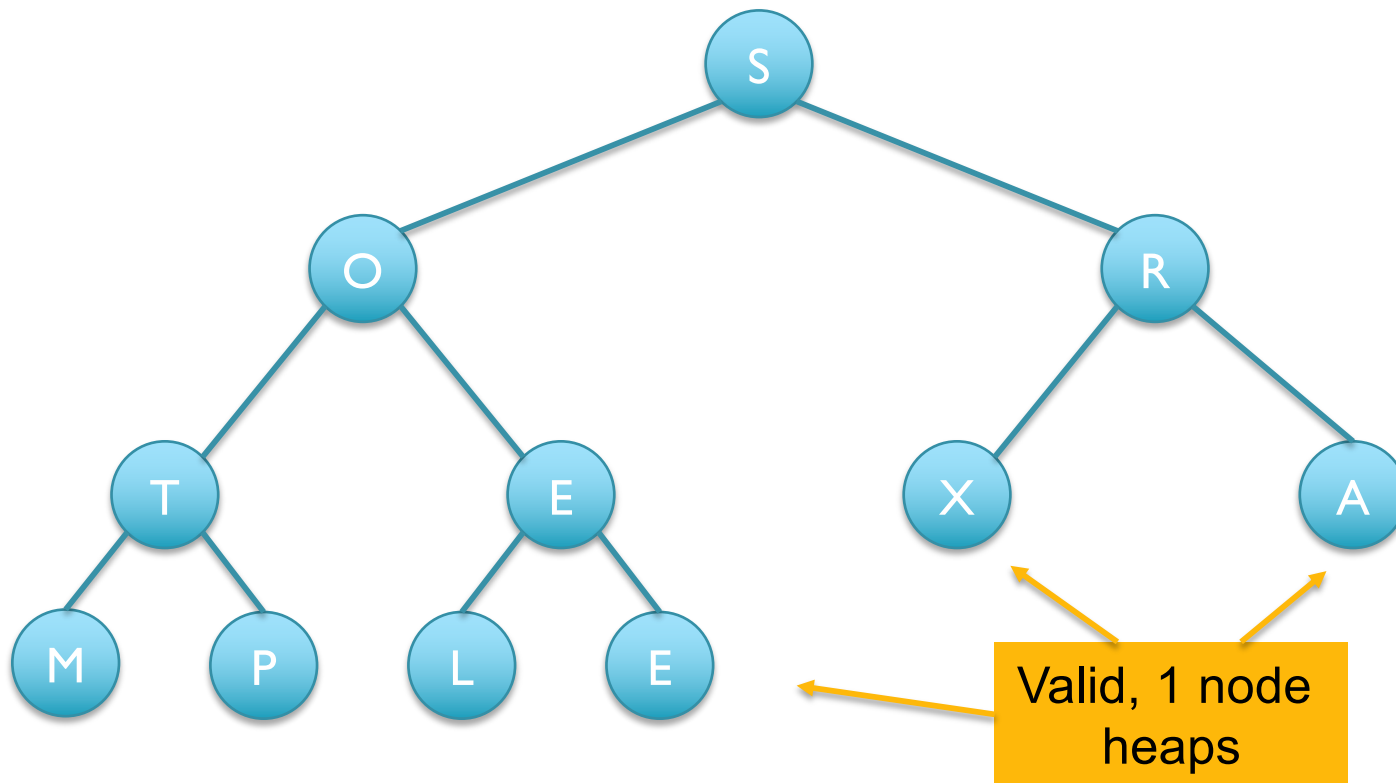
What about the **ordering property**? When we insert 4, the parent 2 is not ≥ 4 , so the **ordering property is violated**. There's an **easy fix** however, just swap the values!

Note that in general, we **may need to keep swapping “up the tree”** as long as the ordering property is still violated. **But since there are only $\log n$ levels, this can take at most $O(\log n)$ time in the worst case.**

Bottom up Heapification

Interestingly, it is possible to construct a heap from an unsorted array in $O(N)$
By iteratively “heapify” all the nodes from the bottom up

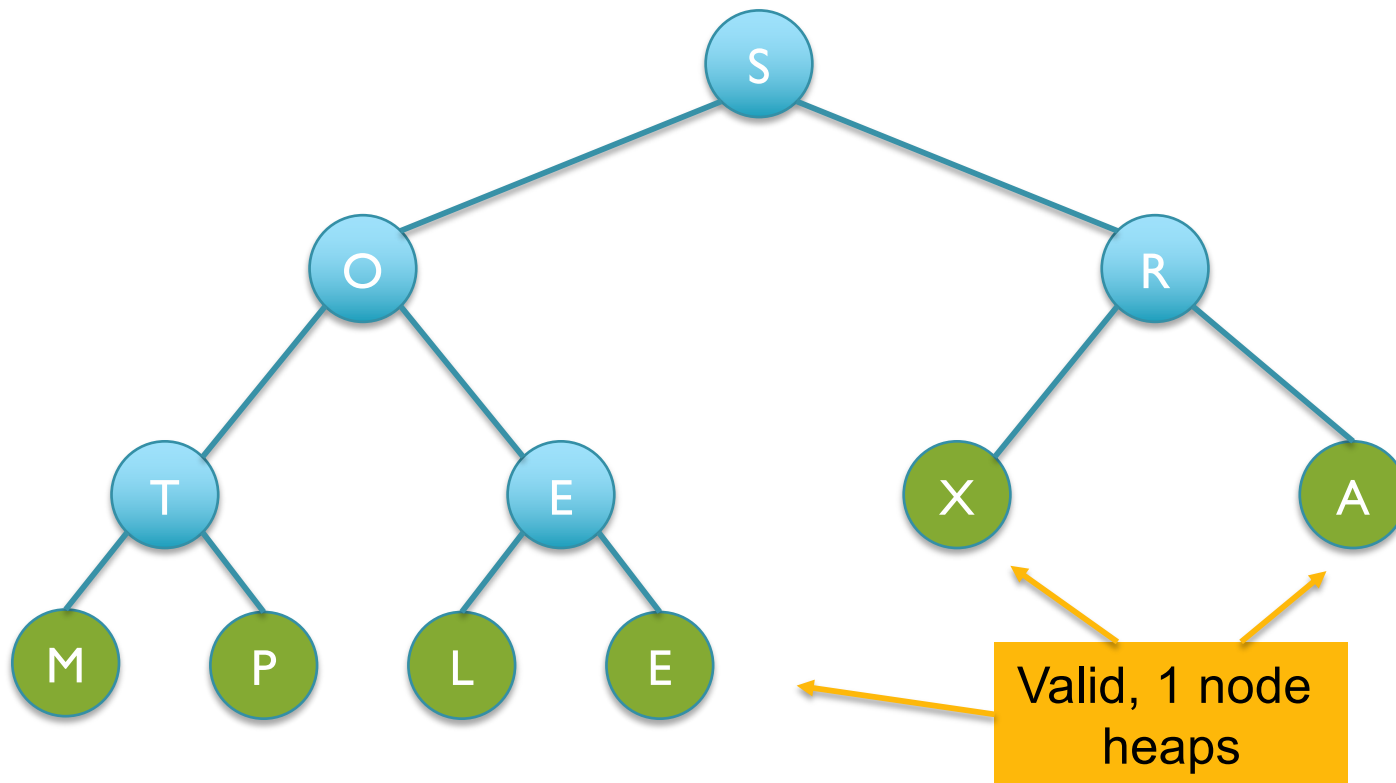
S O R T E X A M P L E



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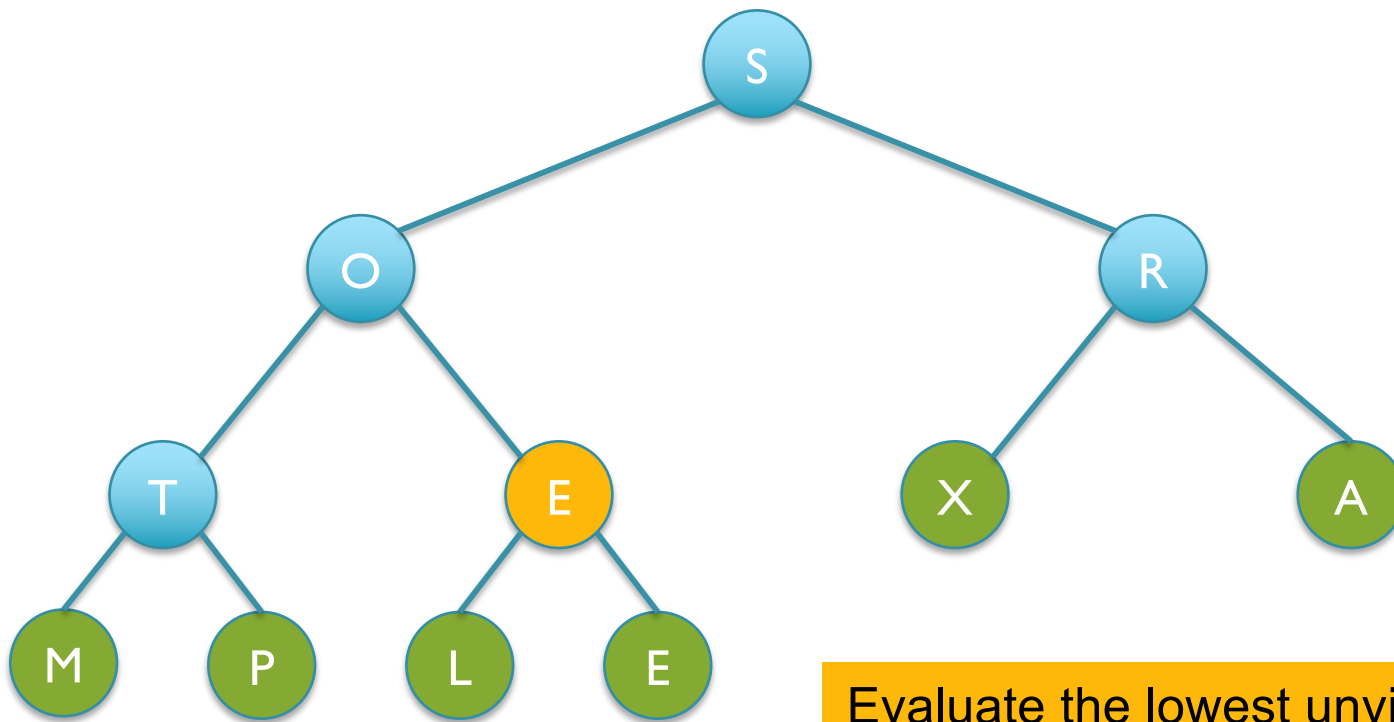
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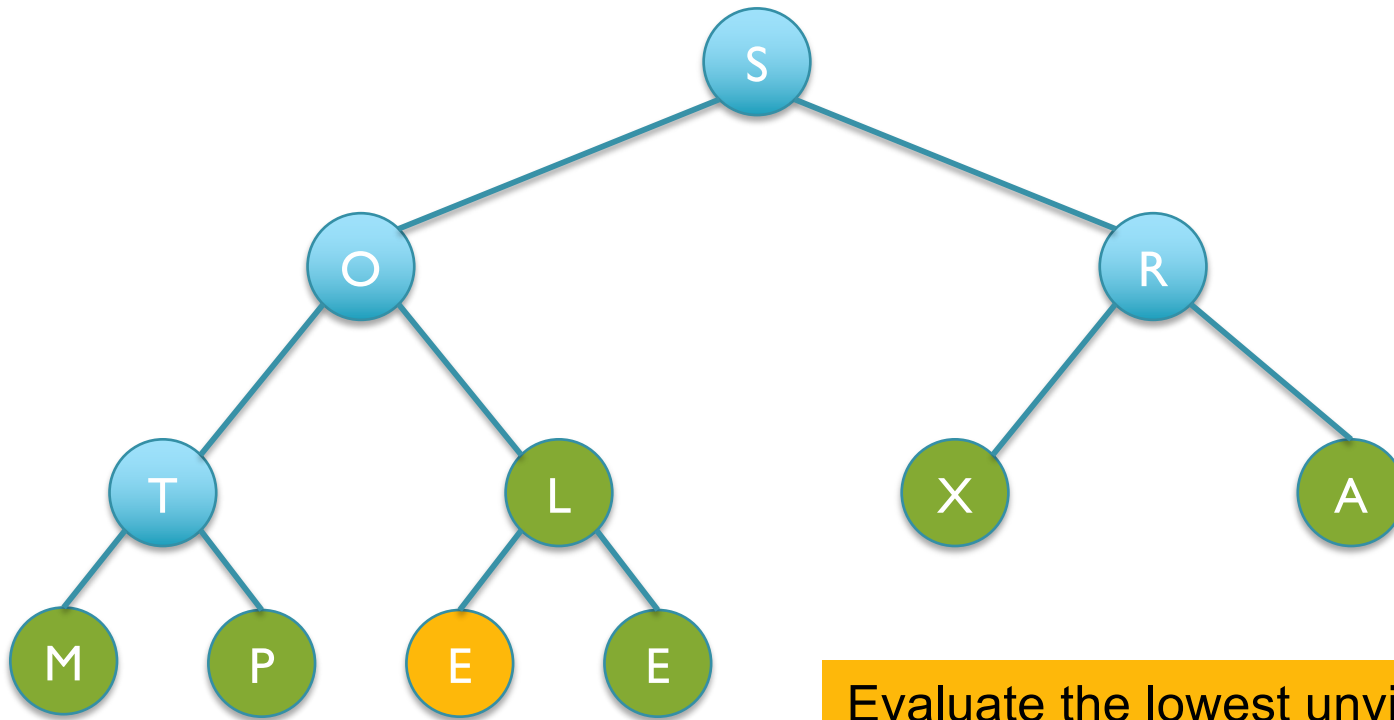


Evaluate the lowest unvisited node,
And “sift down” as needed

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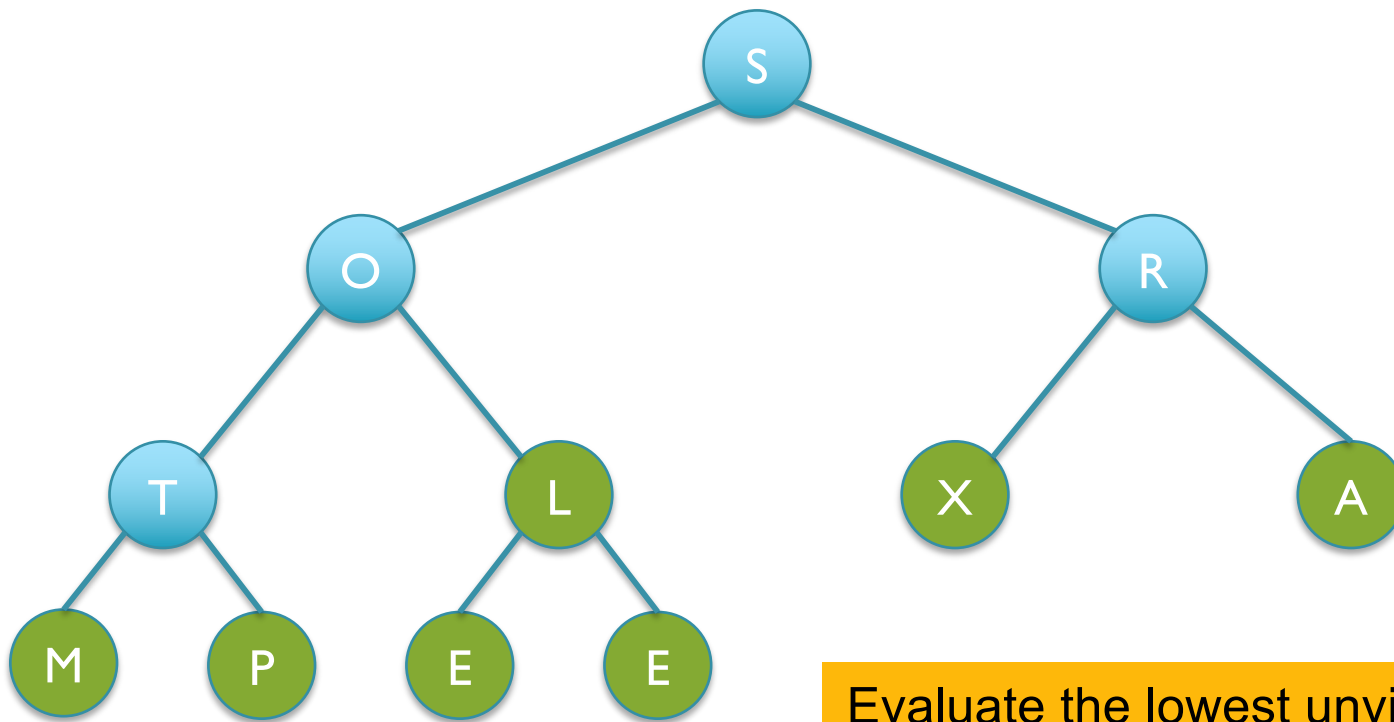


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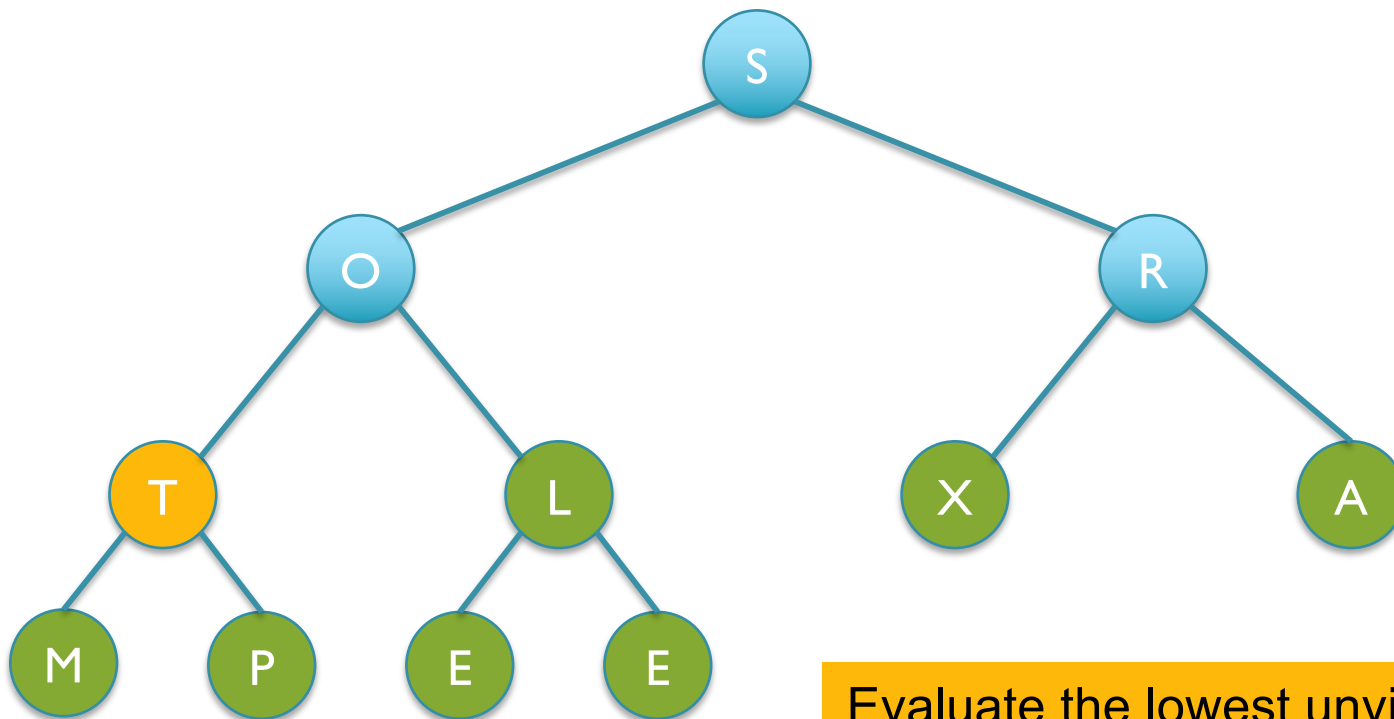


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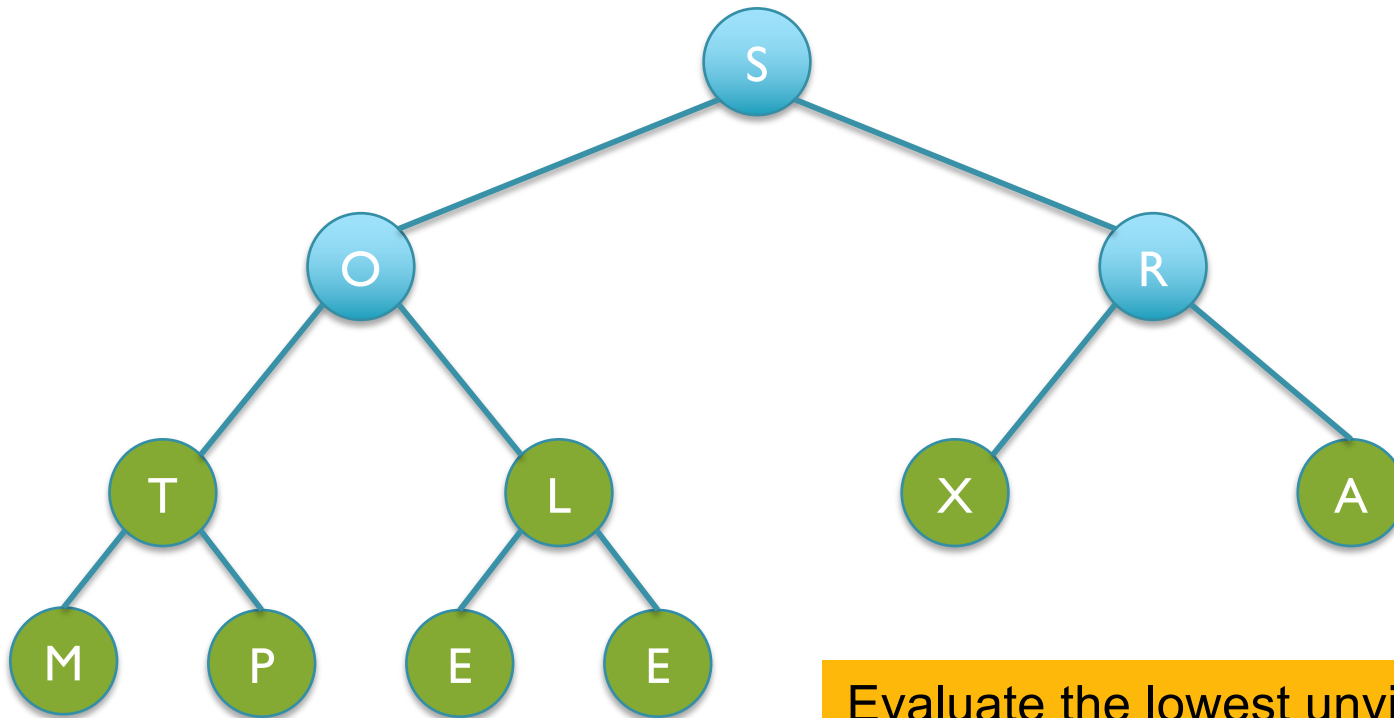


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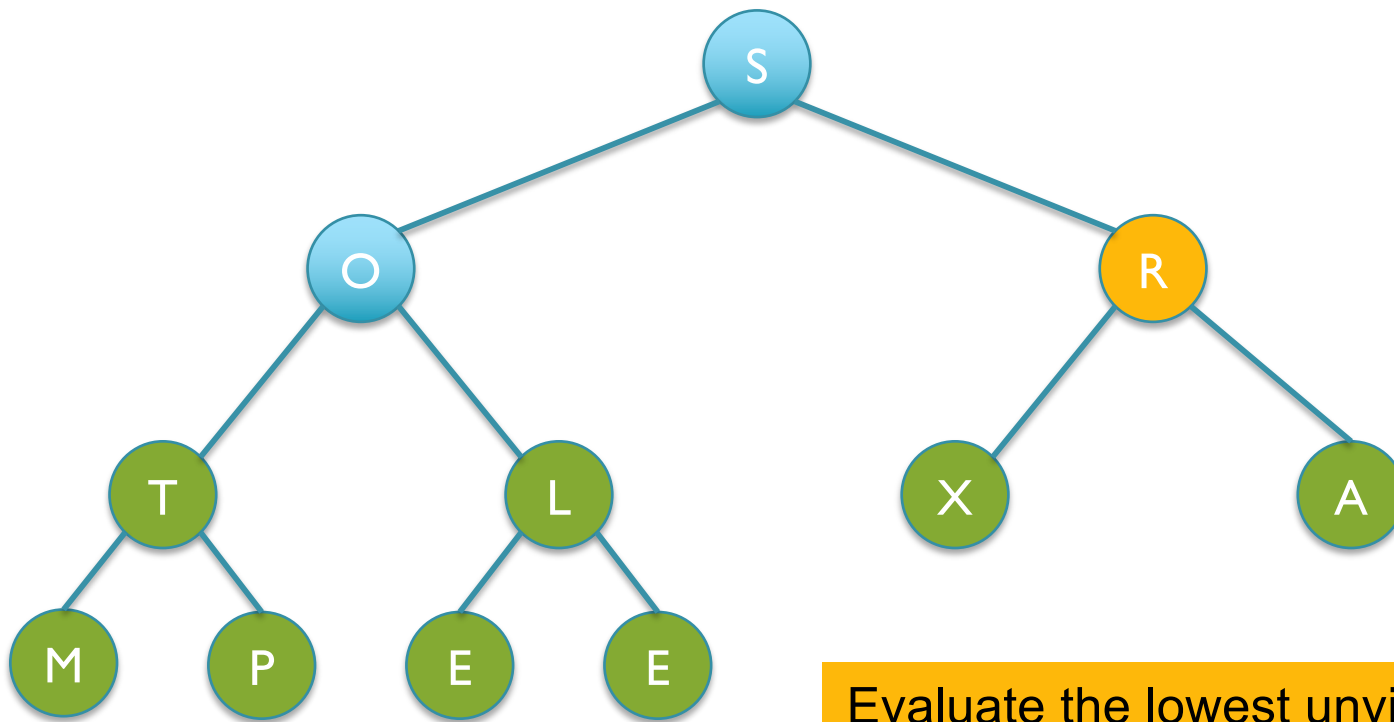


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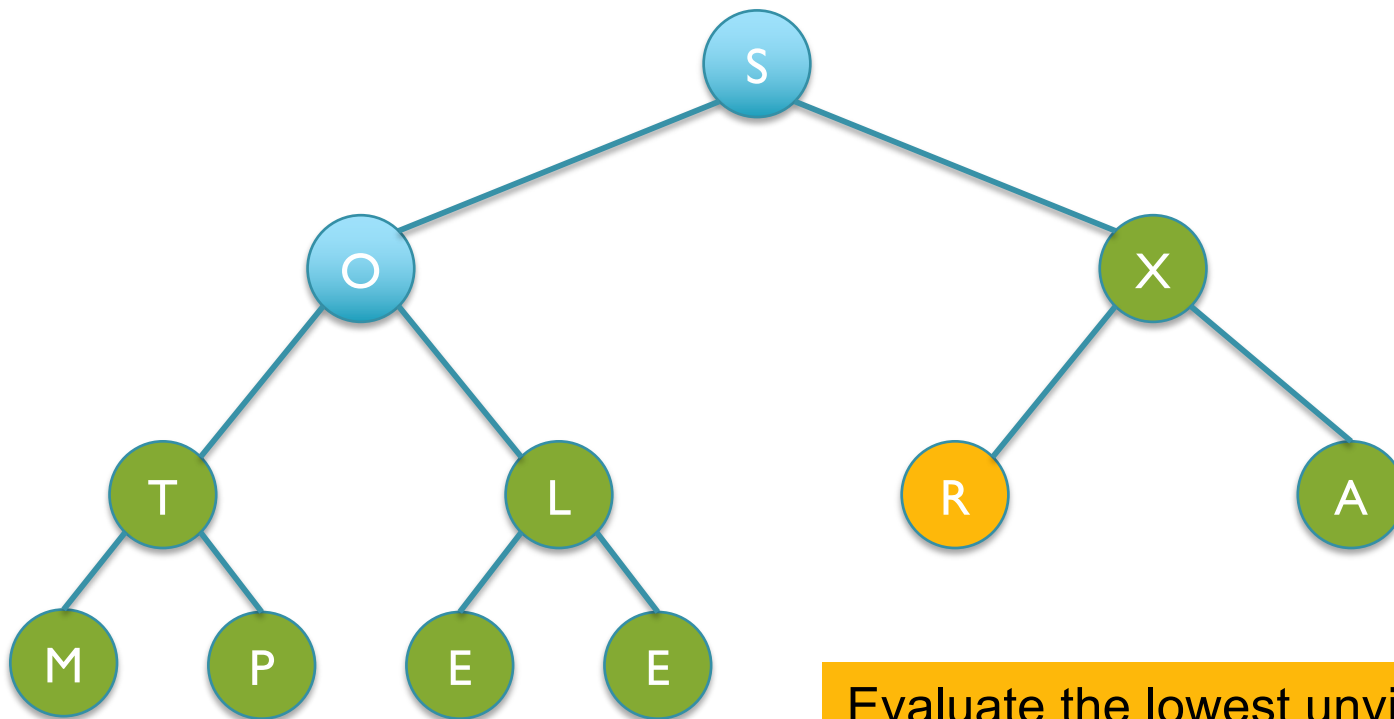


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S	O	X	T	L	R	A	M	P	E	E
---	---	---	---	---	---	---	---	---	---	---

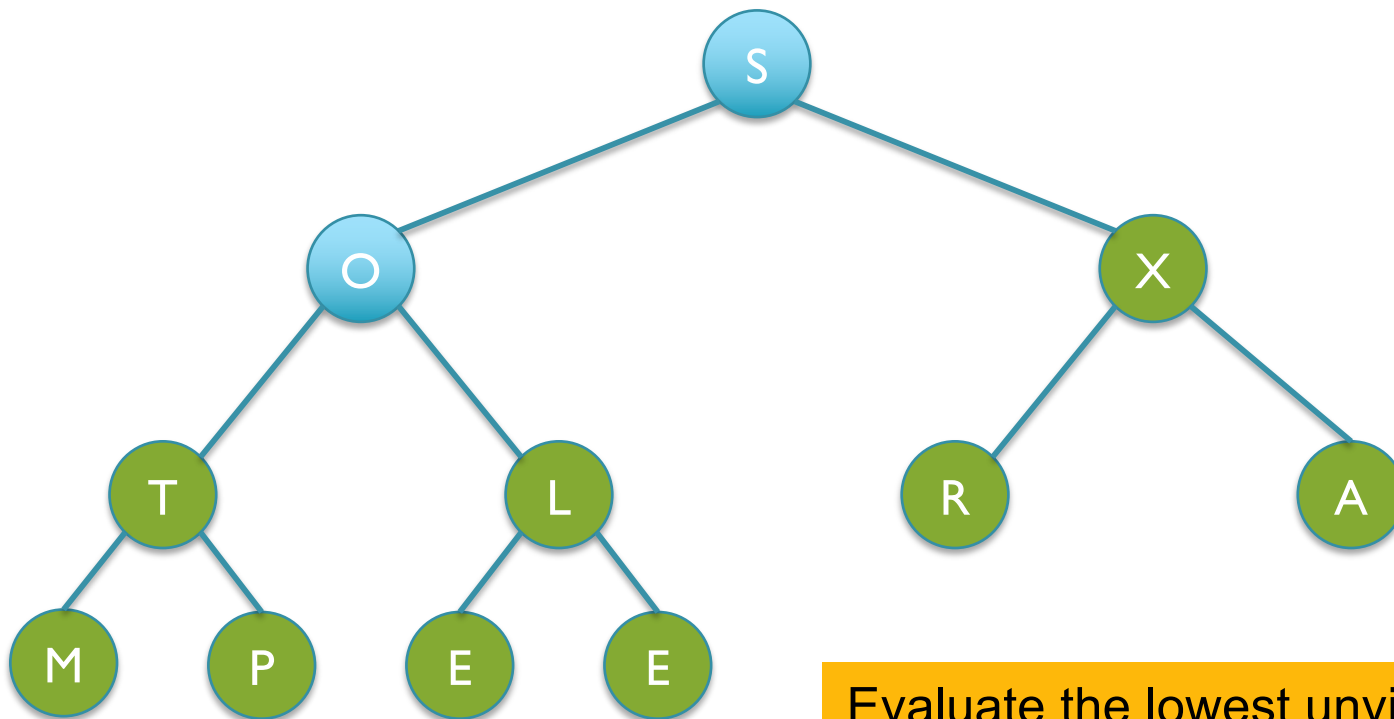


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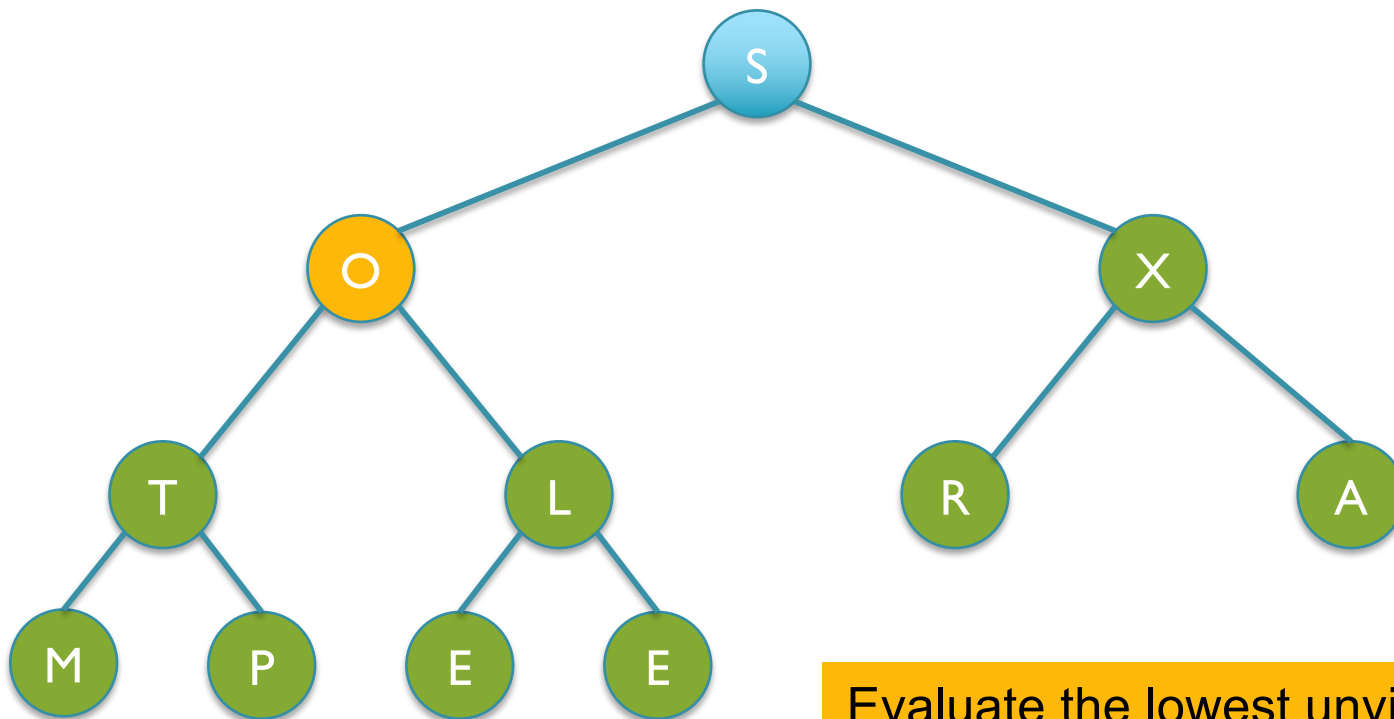


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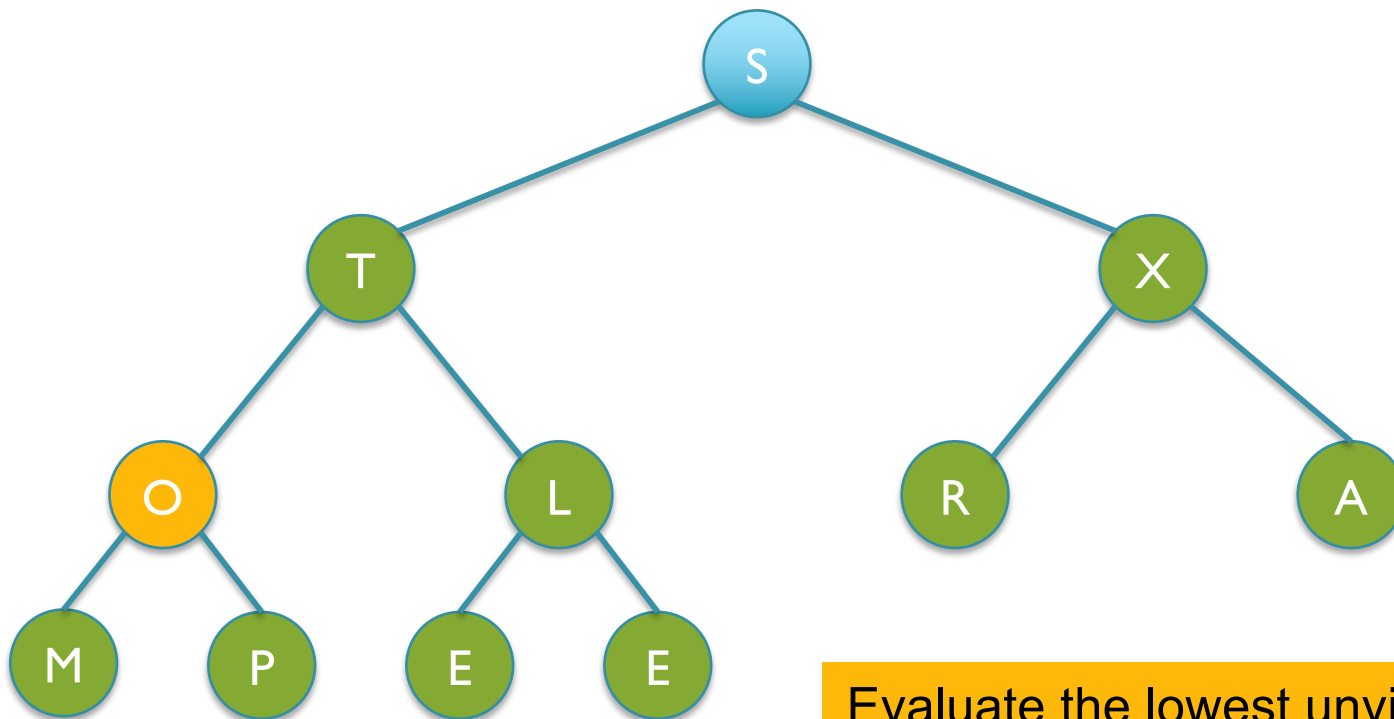


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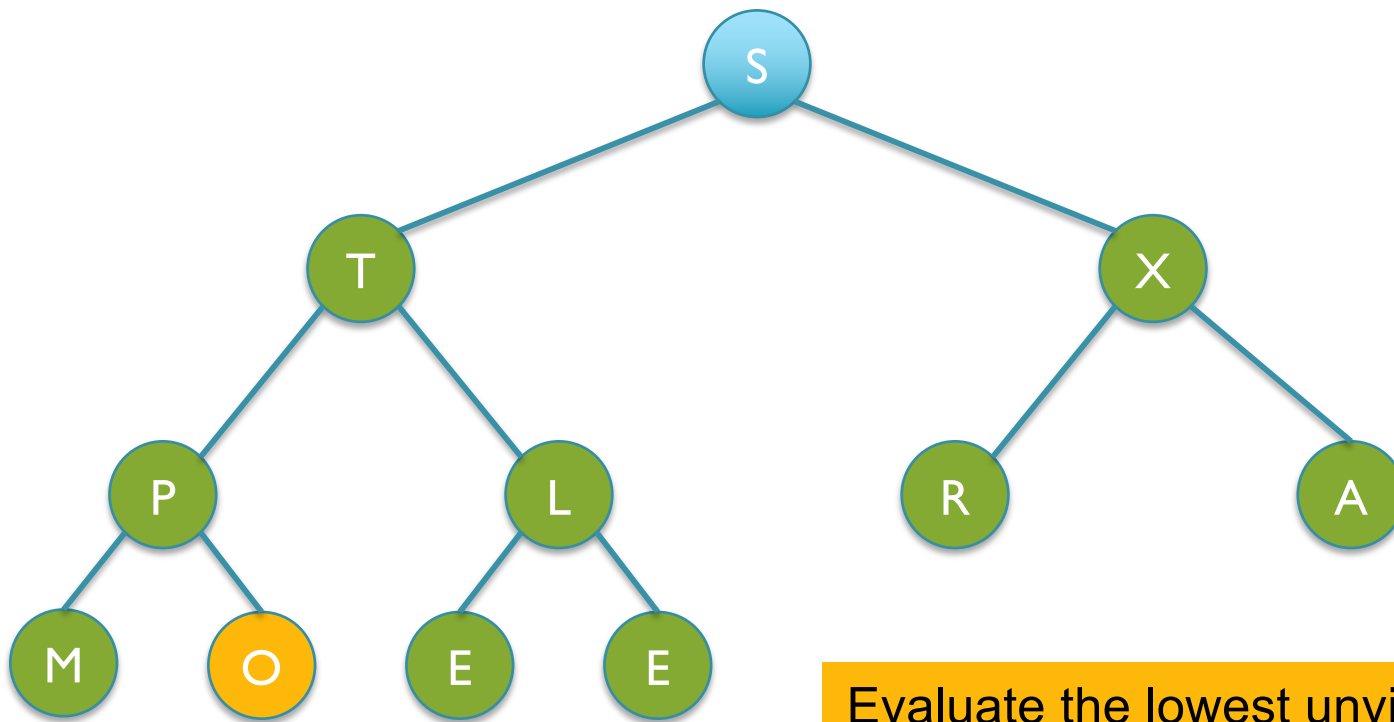


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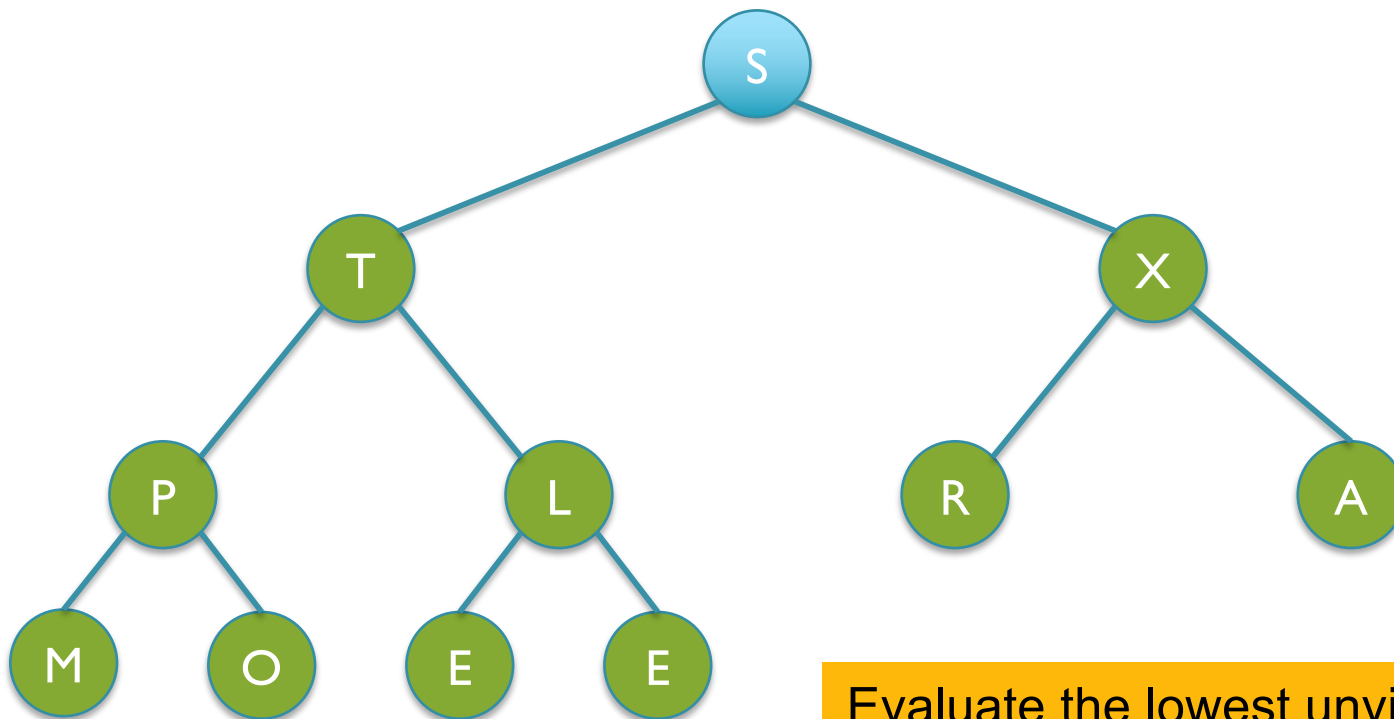


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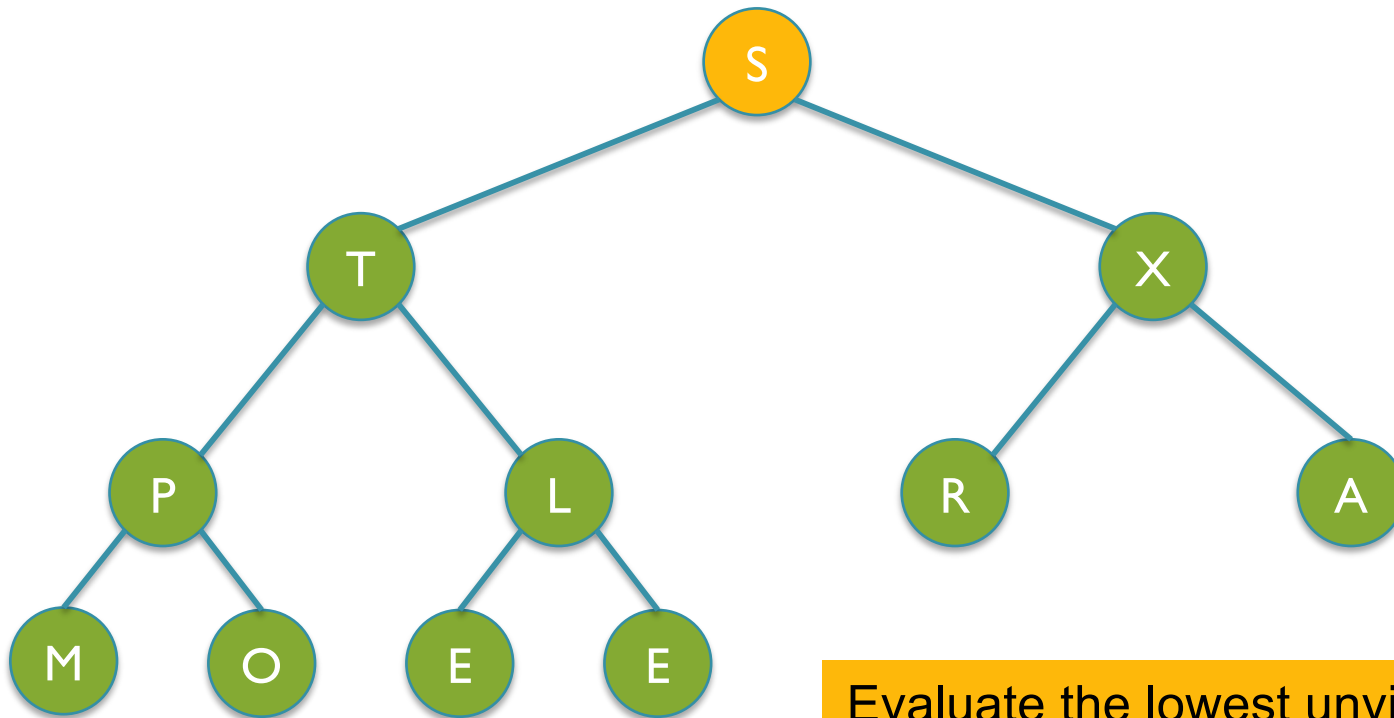


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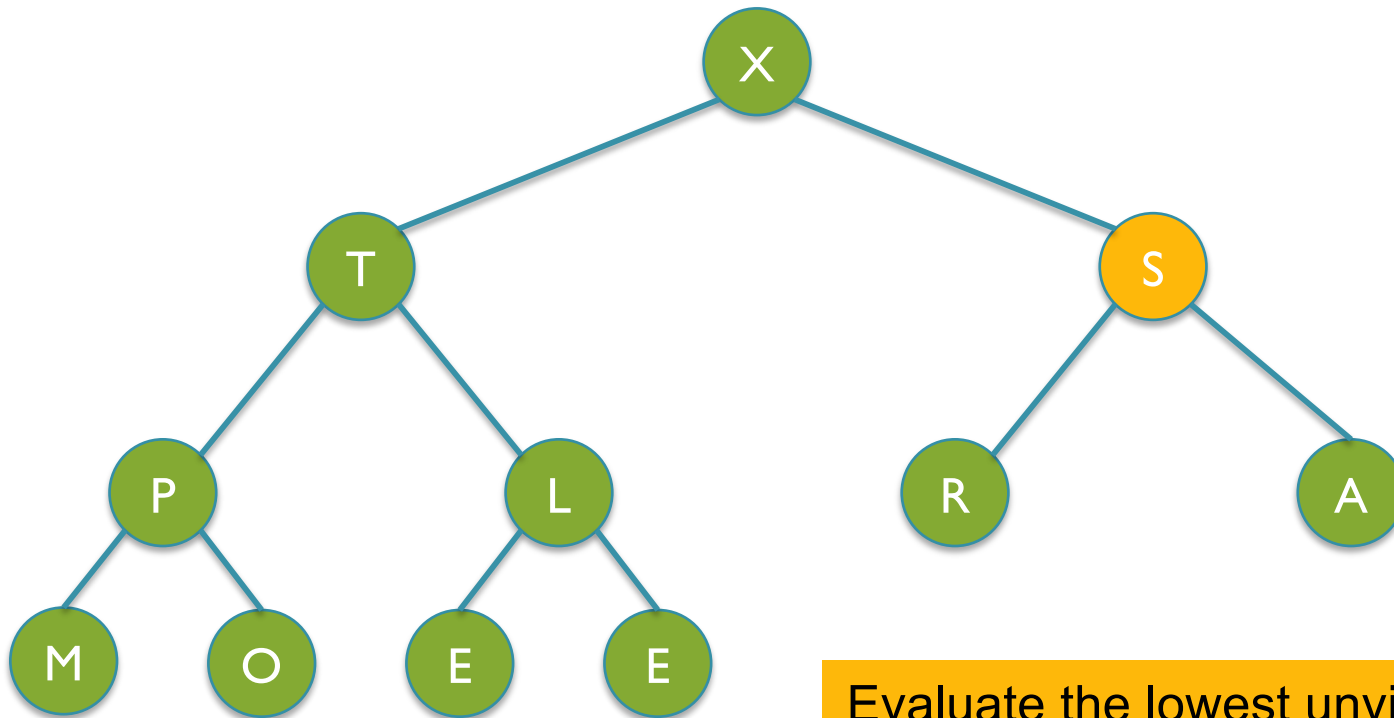


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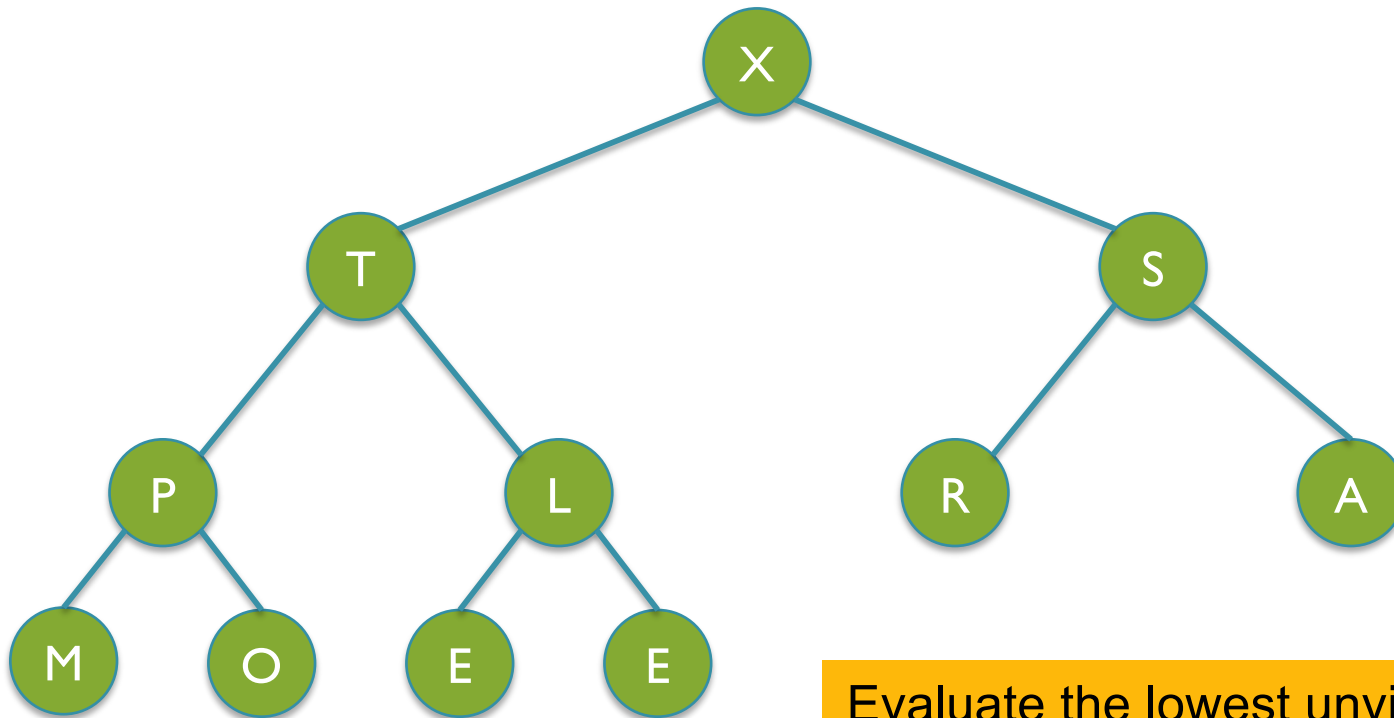


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---	---	---	---	---	---	---	---	---	---	---



Evaluate the lowest unvisited node,
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Bottom up Heapification

```
// Heapify Pseudo-code
```

```
// Note nodes n/2 through n are valid 1 node heaps to start ☺
```

```
// array starts at 1 so we can use the parent/child arithmetic
```

```
for (int i = n/2; i >= 1; i--) {  
    siftdown(i)  
}
```

A simple analysis would suggest $O(n \lg n)$ heapification:
For $n/2$ nodes, we need to sift down by at most $\lg n$ steps.

But a more careful analysis shows that it is really $O(n)$:

Height starts at 0 at the bottom,
increases towards root

number of nodes at height h is $\leq \frac{2^{\lfloor \log n \rfloor}}{2^h} \leq \frac{n}{2^h}$

Each node at height h takes $O(h)$ time
So overall runtime is:

$$\begin{aligned} \sum_{h=0}^{\lfloor \log n \rfloor} \frac{n}{2^h} O(h) &= O \left(n \sum_{h=0}^{\lfloor \log n \rfloor} \frac{h}{2^h} \right) \\ &= O \left(n \sum_{h=0}^{\infty} \frac{h}{2^h} \right) \\ &= O(n) \end{aligned}$$

Note: $\sum_{i=0}^{\infty} i/2^i = 2$

Bottom up Heapification

Analysis of : $\sum_{i=0}^{\infty} i/2^i = 2$

Knowing that:

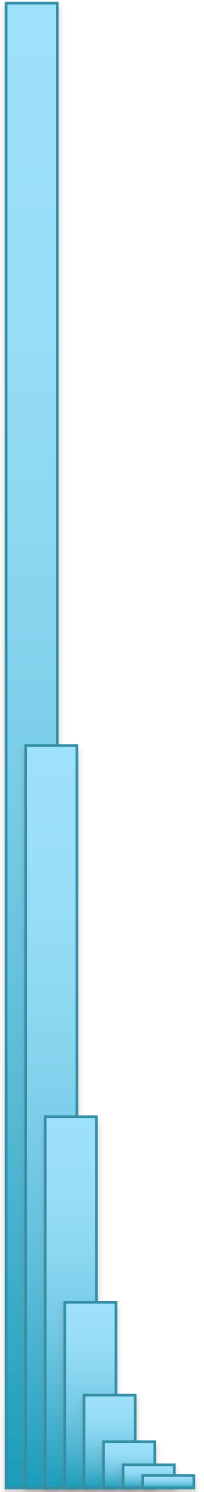
$$\frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \dots = 1$$

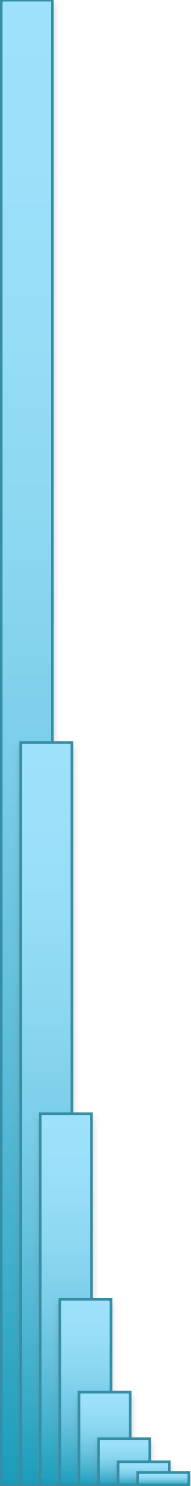
We have:

$$\begin{aligned}\sum_{i=1}^{\infty} \frac{i}{2^i} &= \frac{1}{2} + \frac{2}{4} + \frac{3}{8} + \frac{4}{16} + \dots \\ &= \left(\frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \dots\right) + \left(\frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \dots\right) + \left(\frac{1}{8} + \frac{1}{16} + \dots\right) + \dots \\ &= 1 + \frac{1}{2} + \frac{1}{4} + \dots \\ &= 2\end{aligned}$$

Agenda

1. *Recap on BitSets*
2. *Maps*
3. *BSTs*





Part I. IntegerSets and BitSets

Set of Integers



UnorderedSet:

has: $O(n)$
insert: $O(n)$
remove: $O(n)$

OrderedSet (Binary Search)

has: $O(\lg n)$
insert: $O(\lg n + n)$
remove: $O(\lg n + n)$

PriorityQueue (Heap)

has: $O(n)$
insert: $O(\lg n)$
removeTop: $O(\lg n)$

Could we do better for integers?

IntegerSet

```
Set iset = new IntegerSet();
iset.insert(3);
iset.insert(6);
iset.insert(2);
iset.insert(3);

iset.has(8);
iset.remove(2);

for(Integer i: iset) {
    System.out.println(i);
}
```

Lets assume values are between 0 and 9

Array of Boolean could work in $O(1)$ but:

How many Booleans?

Wont this require a lot of space?

new()

0	1	2	3	4	5	6	7	8	9
F	F	F	F	F	F	F	F	F	F

insert(3)

0	1	2	3	4	5	6	7	8	9
F	F	F	T	F	F	F	F	F	F

insert(6)

0	1	2	3	4	5	6	7	8	9
F	F	F	T	F	F	T	F	F	F

insert(2)

0	1	2	3	4	5	6	7	8	9
F	F	T	T	F	F	T	F	F	F

insert(3)

0	1	2	3	4	5	6	7	8	9
F	F	T	T	F	F	T	F	F	F

remove(2)

0	1	2	3	4	5	6	7	8	9
F	F	F	T	F	F	T	F	F	F

SimpleIntegerSet (I)

```
import java.lang.Iterable;  
import java.util.Iterator;
```

```
public class SimpleIntegerSet implements IntegerSet {
```

```
    private boolean[] data;  
    private int low;  
    private int high;
```

Java array of boolean
primitive type

```
    public SimpleIntegerSet(int low, int high) {  
        if (low > high){  
            throw new IllegalArgumentException("low " +  
                low + " must be <= high " + high);  
        }  
  
        this.data = new boolean[high - low + 1];  
        this.low = low;  
        this.high = high;  
    }
```

```
    public SimpleIntegerSet(int size) {  
        this (0, size - 1);  
    }
```

Helper constructor for
positive numbers only

...

SimpleIntegerSet (2)

```
...  
private int index(int i) {  
    if (this.low<=i&& i<=this.high) {  
        return i + this.low;  
    } else {  
        throw new IndexOutOfBoundsException("element " +  
            i + " must be >= low " + this.low +  
            " and <= high " + this.high);  
    }  
}  
  
private void put(int i, boolean b) {  
    this.data[this.index(i)] = b;  
}  
  
private boolean get(int i) {  
    return this.data[this.index(i)];  
}  
...
```

Private methods that
directly update this.data

SimpleIntegerSet (3)

...

```
public void insert(int i) { this.put(i, true); }  
public void remove(int i) { this.put(i, false); }  
public boolean has(int i) { return this.get(i); }  
public int low() { return this.low; }  
public int high() { return this.high; }  
  
// homework :-)  
public Iterator<Integer> iterator() { return null; }  
}
```

Public methods

This works in $O(1)$, but is there anything we can do to reduce memory requirements?

Wastes a lot of space to use entire bytes for booleans!

Generally inefficient to access individual bits of memory, no bit datatype in Java

Binary Arithmetic

Integers (and all data) are really stored as a sequence of 0s and 1s

```
public class PrintBits {
    public static void main(String[] args) {
        Integer i = Integer.parseInt(args[0]);
        System.out.println("Integer: " + i +
                           " Bits: " + Integer.toBinaryString(i));
    }
}
```

```
$ java PrintBits 1024
Integer: 1024 Bits: 100000000000

$ java PrintBits 424242
Integer: 424242 Bits: 1100111100100110010

$ java PrintBits 1000000
Integer: 1000000 Bits: 11110100001001000000

$ java PrintBits 1048576
Integer: 1048576 Bits: 10000000000000000000000000

$ java PrintBits 42424242
Integer: 42424242 Bits: 10100001110101011110110010

$ java PrintBits 1073741824
Integer: 1073741824 Bits: 1000000000000000000000000000000000000000
```

Binary Arithmetic

Java Integers are 32 bit values

3 1	3 0	2 9	2 8	2 7	2 6	2 5	2 4	2 3	2 2	2 1	2 0	1 9	1 8	1 7	1 6	1 5	1 4	1 3	1 2	1 1	1 0	9	8	7	6	5	4	3	2	1	0
0	0	0	0	0	1	0	1	0	0	0	0	1	1	1	0	1	0	1	0	1	1	1	1	1	0	1	1	0	0	1	0

Bits are numbered from rightmost (0) to leftmost (31)

Aka Most significant bit first (leftmost bit determines billions)

Binary:

$$\begin{aligned} 101010_2 &= 1 \times 2^5 + 0 \times 2^4 + 1 \times 2^3 + 0 \times 2^2 + 1 \times 2^1 + 0 \times 2^0 \\ &= 1 \times 32 + 0 \times 16 + 1 \times 8 + 0 \times 4 + 1 \times 2 + 0 \times 1 \\ &= 32_{10} + 0_{10} + 8_{10} + 0_{10} + 2_{10} + 0_{10} \\ &= 42_{10} \end{aligned}$$

Decimal:

$$\begin{aligned} 4711_{10} &= 4 \times 10^3 + 7 \times 10^2 + 1 \times 10^1 + 1 \times 10^0 \\ &= 4 \times 1000 + 7 \times 100 + 1 \times 10 + 1 \times 1 \\ &= 4000 + 700 + 10 + 1 \end{aligned}$$

Binary Logic

There are several common logical operations that can be applied to bits

AND (&)

A	B	A & B
0	0	0
0	1	0
1	0	0
1	1	1

OR (|)

A	B	A B
0	0	0
0	1	1
1	0	1
1	1	1

XOR (^)

A	B	A ^ B
0	0	0
0	1	1
1	0	1
1	1	0

NOT (~)

A	~A
0	1
1	0

The operators can also be applied to several bits at once (32 for int, 64 for long)

AND (&)

```
a = 010110
b = 001110
a&b = 000110
```

OR (|)

```
a = 010110
b = 001110
a|b = 011110
```

XOR (^)

```
a = 010110
b = 001110
a^b = 011000
```

NOT (~)

```
a = 010110
~a = 101001
```

Bit Shifting

In addition to logical operations, we can shift bits left (<<) or right (>>)

Binary

000001 << 1 == 000010
000001 << 2 == 000100
000001 << 3 == 001000

001101 >> 1 == 000110
001101 >> 2 == 000011
001101 >> 3 == 000001

Decimal

1<<1 == 2
1<<2 == 4
1<<3 == 8

13>>1 == 6
13>>2 == 3
13>>3 == 1

```
public class BitShifts {  
    public static void main(String[] args) {  
        System.out.println("1 << 1: " + (1 << 1));  
        System.out.println("1 << 2: " + (1 << 2));  
        System.out.println("1 << 3: " + (1 << 3));  
  
        System.out.println("13 >> 1: " + (13 >> 1));  
        System.out.println("13 >> 2: " + (13 >> 2));  
        System.out.println("13 >> 3: " + (13 >> 3));  
    }  
}
```

Bit Twiddling

Using these operations, we can do some pretty interesting computes

```
public class BitTwiddleEO {  
    public static void main(String[] args) {  
        int x = (int) Integer.parseInt(args[0]);  
        int r = (x & 1);  
        Boolean b = (r == 1);  
        System.out.println("x: " + x + " r: " + r + " b: " + b);  
    }  
}
```

```
$ java BitTwiddleEO 0  
x: 0 r: 0 b: false  
$ java BitTwiddleEO 1  
x: 1 r: 1 b: true  
$ java BitTwiddleEO 2  
x: 2 r: 0 b: false  
$ java BitTwiddleEO 3  
x: 3 r: 1 b: true
```

```
$ java BitTwiddleEO 42  
x: 42 r: 0 b: false  
$ java BitTwiddleEO 99  
x: 99 r: 1 b: true  
$ java BitTwiddleEO -99  
x: -99 r: 1 b: true  
$ java BitTwiddleEO -98  
x: -98 r: 0 b: false
```

X is even => false; X is odd => true

Bit Twiddling

Using these operations, we can do some pretty interesting computes

```
public class BitTwiddleA {  
    public static void main(String[] args) {  
        int x = (int) Integer.parseInt(args[0]);  
        int y = x >> 31;  
        int z = (x + y) ^ y;  
        System.out.println("x: " + x + " z: " + z);  
    }  
}
```

```
$ java BitTwiddleA 1  
x: 1 z: 1  
$ java BitTwiddleA 2  
x: 2 z: 2  
$ java BitTwiddleA 3  
x: 3 z: 3  
$ java BitTwiddleA 424242  
x: 424242 z: 424242
```

```
$ java BitTwiddleA -1  
x: -1 z: 1  
$ java BitTwiddleA -2  
x: -2 z: 2  
$ java BitTwiddleA -3  
x: -3 z: 3  
$ java BitTwiddleA -424242  
x: -424242 z: 424242
```

z=abs(x)

Bit Twiddling

Using these operations, we can do some pretty interesting computes

```
public class BitTwiddleX {  
    public static void main(String[] args) {  
        int x = (int) Integer.parseInt(args[0]);  
        int y = (int) Integer.parseInt(args[1]);  
        System.out.println("x: " + x + " y: " + y);  
        x = x ^ y;  
        y = x ^ y;  
        x = x ^ y;  
        System.out.println("x: " + x + " y: " + y);  
    }  
}
```

```
$ java BitTwiddleX 1 2
```

```
x: 1 y: 2
```

```
x: 2 y: 1
```

```
$ java BitTwiddleX 1234 -5678
```

```
x: 1234 y: -5678
```

```
x: -5678 y: 1234
```

Swap x and y without any temporary variables

Bit Twiddling

Using these operations, we can do some pretty interesting computes

```
public class BitTwiddleC {  
    public static void main(String[] args) {  
        int x = Integer.parseInt(args[0]);  
        System.out.println("x: " + x);  
        int c = 0;  
        while (x != 0) {  
            c++;  
            x = x & (x - 1);  
        }  
        System.out.println("x: " + x + " c: " + c);  
    }  
}
```

```
$ java BitTwiddleC 0
```

```
x: 0
```

```
x: 0 c: 0
```

```
$ java BitTwiddleC 1
```

```
x: 1
```

```
x: 0 c: 1
```

```
$ java BitTwiddleC 2
```

```
x: 2
```

```
x: 0 c: 1
```

```
$ java BitTwiddleC 3
```

```
x: 3
```

```
x: 0 c: 2
```

```
$ java BitTwiddleC 4
```

```
x: 4
```

```
x: 0 c: 1
```

```
$ java BitTwiddleC 4242
```

```
x: 4242
```

```
x: 0 c: 4
```

Bit Twiddling

Using these operations, we can do some pretty interesting computes

```
public class BitTwiddleC {  
    public static void main(String[] args) {  
        int x = Integer.parseInt(args[0]);  
        System.out.println("x: " + x);  
        int c = 0;  
        while (x != 0) {  
            c++;  
            x = x & (x - 1);  
        }  
        System.out.println("x: " + x + " c: " + c);  
    }  
}
```

```
$ java BitTwiddleC 0 (000)
```

```
x: 0
```

```
x: 0 c: 0
```

```
$ java BitTwiddleC 1 (001)
```

```
x: 1
```

```
x: 0 c: 1
```

```
$ java BitTwiddleC 2 (010)
```

```
x: 2
```

```
x: 0 c: 1
```

```
$ java BitTwiddleC 3 (011)
```

```
x: 3
```

```
x: 0 c: 2
```

```
$ java BitTwiddleC 4 (100)
```

```
x: 4
```

```
x: 0 c: 1
```

```
$ java BitTwiddleC 4242
```

```
(1000010010010)
```

```
x: 4242
```

```
x: 0 c: 4
```

Bit Twiddling

Using these operations, we can do some pretty interesting computes

```
public class BitTwiddleC {  
    public static void main(String[] args) {  
        int x = Integer.parseInt(args[0]);  
        System.out.println("x: " + x);  
        int c = 0;  
        while (x != 0) {  
            c++;  
            x = x & (x - 1);  
        }  
        System.out.println("x: " + x + " c: " + c);  
    }  
}
```

Count how many bits are set to 1 (popcount)

```
$ java BitTwiddleC 0 (000)  
x: 0  
x: 0 c: 0  
$ java BitTwiddleC 1 (001)  
x: 1  
x: 0 c: 1  
$ java BitTwiddleC 2 (010)  
x: 2  
x: 0 c: 1
```

```
$ java BitTwiddleC 3 (011)  
x: 3  
x: 0 c: 2  
$ java BitTwiddleC 4 (100)  
x: 4  
x: 0 c: 1  
$ java BitTwiddleC 4242  
                        (1000010010010)  
x: 4242  
x: 0 c: 4
```

TinyIntegerSet

```
1. int iset = 0  
0000000000
```

```
2. iset.insert(3);  
iset = iset | (1<<3);  
  0000000000  
| 0000001000  
=====  
  0000001000
```

```
3. iset.insert(6);  
iset = iset | (1<<6);  
  0000001000  
| 0001000000  
=====  
  0001001000
```

```
4. iset.insert(2);  
iset = iset | (1<<2);  
  0001001000  
| 0000000100  
=====  
  0001001100
```

```
5. iset.insert(3);  
iset = iset | (1<<3);  
  0001001100  
| 0000001000  
=====  
  0001001100
```

```
6. iset.has(8);  
(iset & (1<<8)) != 0  
  0001001100  
& 0100000000  
=====  
  0000000000 => F
```

```
7. iset.has(3);  
(iset & (1<<3)) != 0  
  0001001100  
& 0000001000  
=====  
  0000001000 => T
```

```
8. iset.remove(2);  
iset = iset & ~(1<<2)  
  
1 << 2 = 0000000100  
~(1<<2) = 1111111011  
  
  0001001100  
& 1111111011  
=====  
  0001001000
```

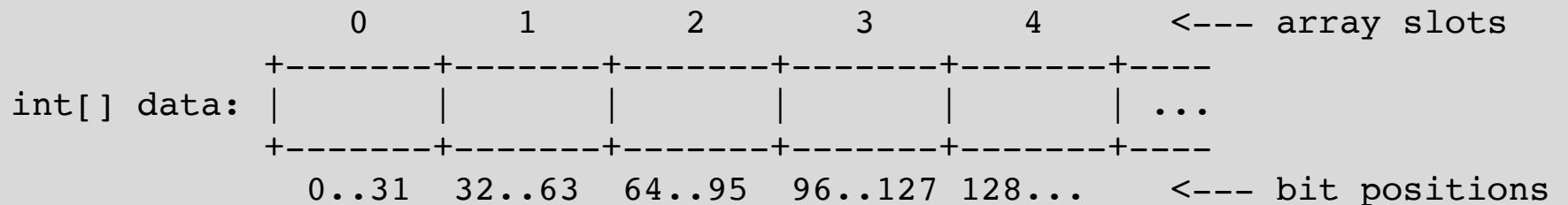
```
9. iset.clear()  
iset = 0
```

Woohoo! O(1) for everything

But only for 32 values

BitSet

Access the individual bits within an array of ints to represent an IntegerSet
All operations in $O(1)$ like a boolean array, although uses 8x less memory 😊



How do you figure out which array slot and bit position to access?

```
slot = k / 32  
bit = k % 32
```

k=27 Slot: 0 Bit: 27

k=37 Slot: 1 Bit: 37-32=5

k=61 Slot: 1 Bit: 61-32=29

BitSet implementation:

```
insert(x): data[x/32] | (1<<(x%32))  
remove(x): data[x/32] & ~(1<<(x%32))  
has(x): (data[x/32] & (1<<(x%32))) != 0
```

How could you extend BitSet/IntegerSet to sort integers?

Big array of ints that count occurrences of each int; scan data to increment; scan table to output. $O(n)$ sorting 😊

Bit Twiddling Hacks

<https://graphics.stanford.edu/~seander/bithacks.html>

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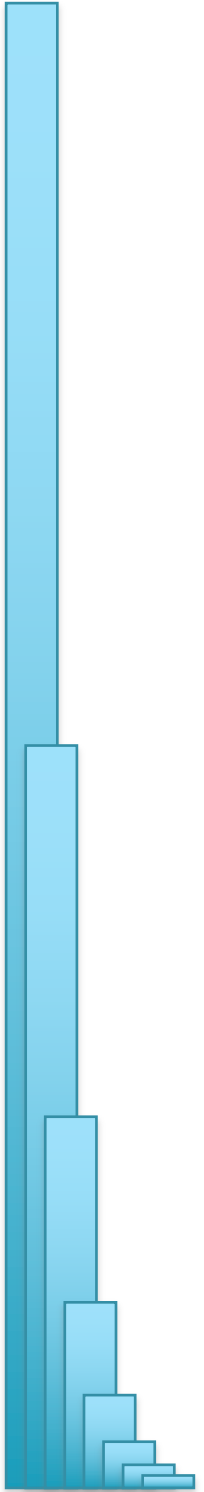
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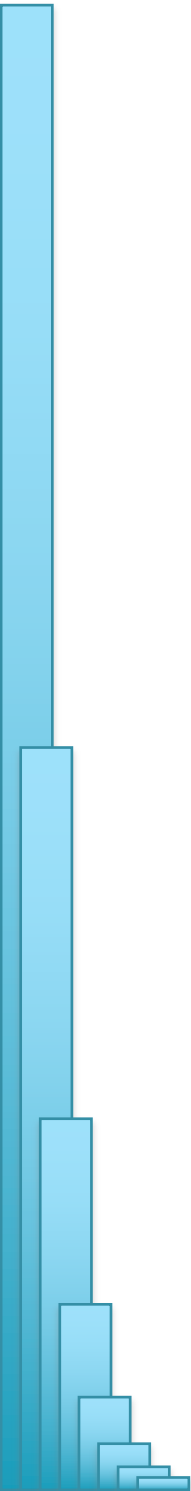
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Part 2: Maps





Maps

aka dictionaries
aka associative arrays

```
Mike      -> Malone 323
Peter     -> Malone 223
Joanne    -> Malone 225
Zack      -> Malone 160 suite
Debbie    -> Malone 160 suite
Randal    -> Malone 160 suite
Ron       -> Garland 242
```

Key (of Type K) -> Value (of Type V)

Note you can have multiple keys with the same value,
But not okay to have one key map to more than 1 value

How might you map to more than 1 value?

Maps, Sets, and Arrays

Sets as Map<T, Boolean>

Mike	-> True
Peter	-> True
Joanne	-> True
Zack	-> True
Debbie	-> True
Yair	-> True
Ron	-> True

Array as Map<Integer, T>

0	-> Mike
1	-> Peter
2	-> Joanne
3	-> Zack
4	-> Debbie
5	-> Yair
6	-> Ron

Maps are extremely flexible and powerful,
and therefore are extremely widely used

Built into many common languages: Awk, Python, Perl, JavaScript...

How could maps be used with sparse arrays?

How could maps be used with graphs?

Map Interface

```
public interface Map<K,V> extends Iterable<K>{  
  
    void insert (K k, V v);  
    void remove(K k);  
    V get(K k);  
  
}
```

What functionality does “extends Iterable<K>” introduce?

What should get() return if k is not in the map?

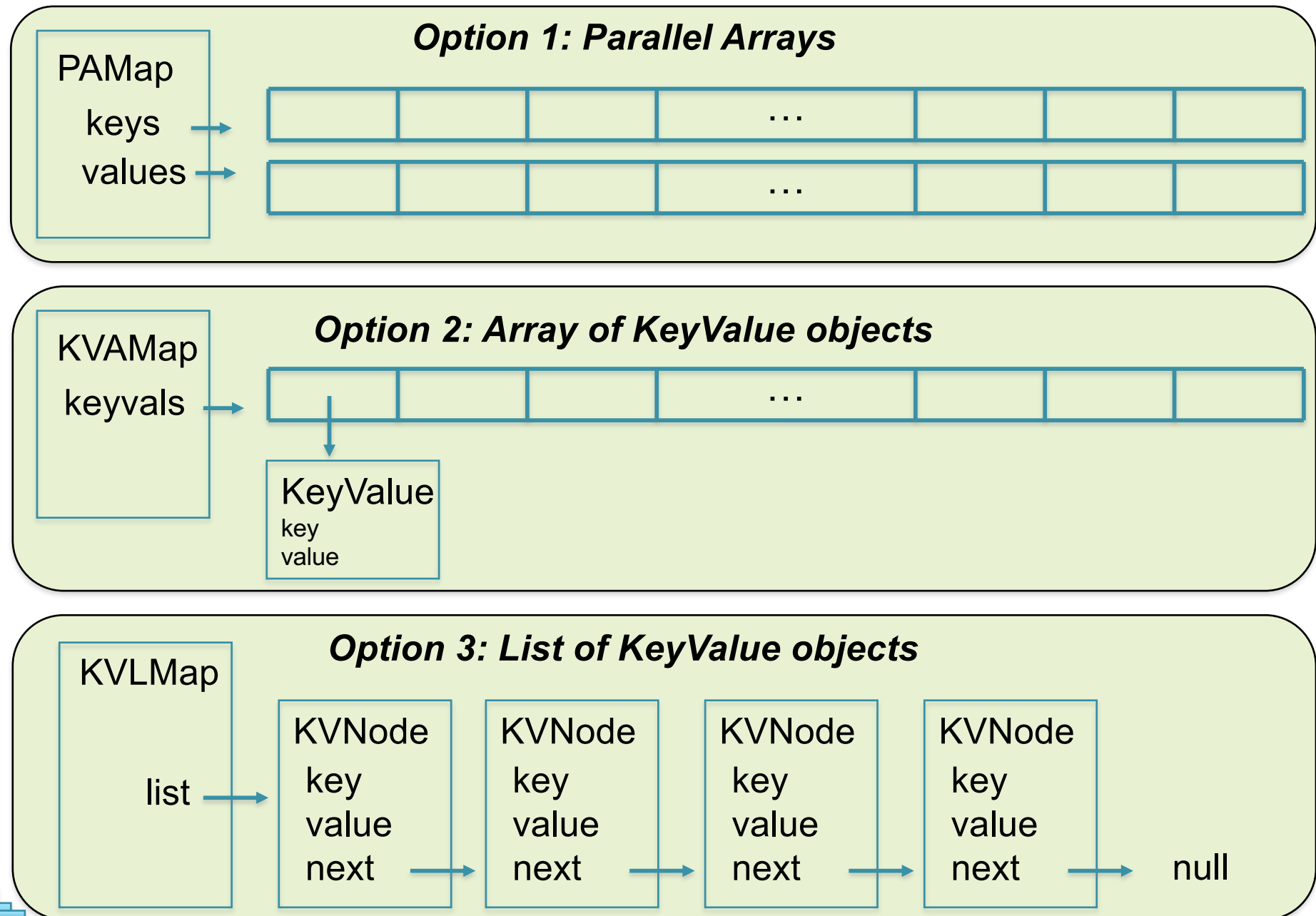
What should insert() do if k is already in the map?

Map Interface v2

```
public interface Map<K,V> extends Iterable<K>{  
  
    void insert (K k, V v) throws DuplicateKeyException;  
    V remove(K k) throws UnknownKeyException;  
    void put(K k, V v) throws UnknownKeyException;  
    V get(K k) throws UnknownKeyException;  
    boolean has(K k);  
  
}
```

How would you implement this interface?

Map Implementation



Map Implementation

Can we do any better?

Yes, but only if the keys can be ordered / sorted!

Option 1: Parallel Arrays

PAMap

keys →

values →



Option 2: Array of KeyValue objects

KVAMap

keyvals →

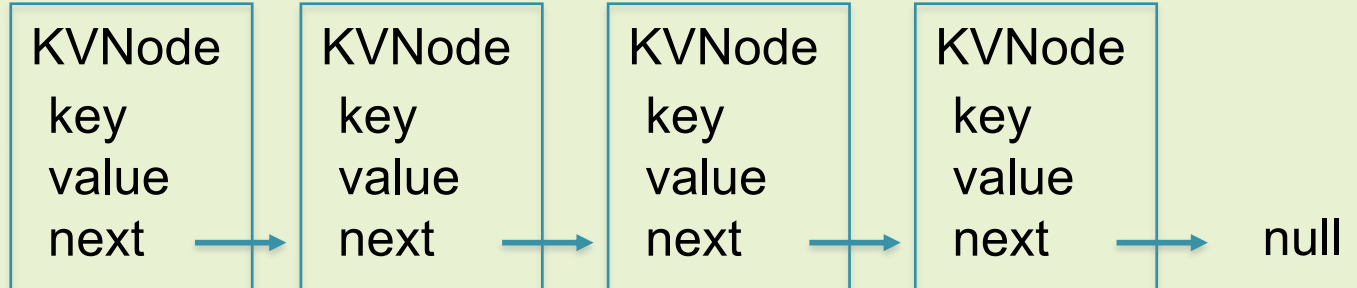


KeyValue
key
value

Option 3: List of KeyValue objects

KVLMap

list →



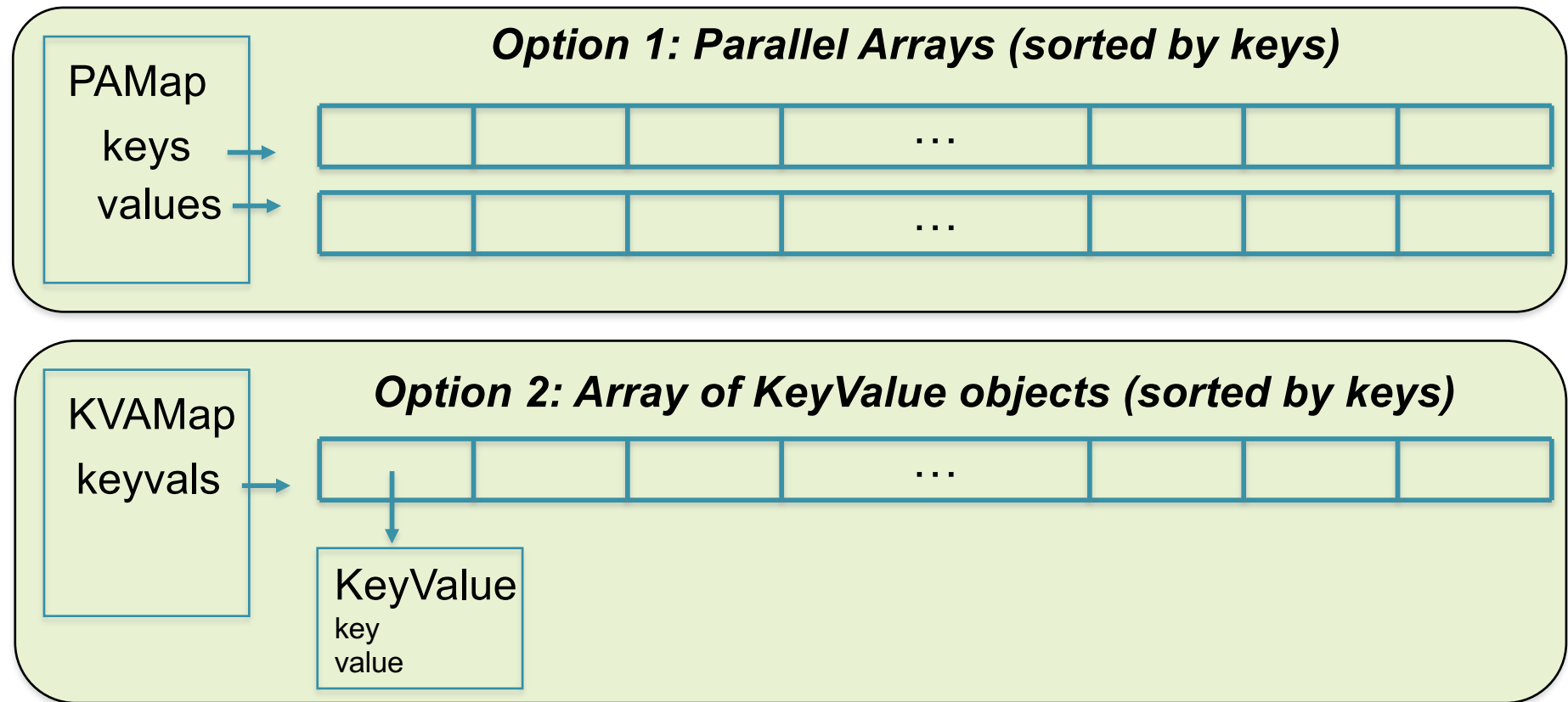
Map Interface v3

```
public interface OrderedMap<K extends Comparable<K>, V>  
    extends Iterable<K>{  
  
    void insert (K k, V v) throws DuplicateKeyException;  
    V remove(K k) throws UnknownKeyException;  
    void put(K k, V v) throws UnknownKeyException;  
    V get(K k) throws UnknownKeyException;  
    boolean has(K k);  
  
}
```

Woohoo! Now keys can be compared to each other

How would you implement this interface?

Map Implementation



If the arrays are sorted, we can use binary search =>
get() and has() will run in $O(\lg n)$ time!

What about insert() or remove()?

$O(n)$ time ☹

Could we do everything in $O(\lg n)$ time or faster?

Next Steps

1. Work on HW6
2. Check on Piazza for tips & corrections!

